

**UNITED STATES DEPARTMENT OF
COMMERCE**
Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/533,421 | 03/22/2000 | Charles S. Roberson | 1314.3005R1 |

J P Blasko Prof Corp
107 North Broad Street
Doylestown, PA 18901

Date Mailed: 06/09/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 820.

*A copy of this notice **MUST** be returned with the reply.*

Ruth Sydnor
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/26/2000 GTEFFERA 00000130 09533421

01 FC:101 690.00 OP
02 FC:105 130.00 OP

A

09/14/00



MAILED & CANCELLED
SEP 14 2000
PATENT & TRADEMARK OFFICE

CERTIFICATE OF MAILING (37 CFR 1.8(A))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

DATE: 9/8/00
SEP 14 2000
PATENT & TRADEMARK OFFICE

Frank A. Mazzeo
Frank A. Mazzeo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--|----------------------------|----------------------------------|--|
| SERIAL NUMBER 09/533,421 | FILING DATE 22 MAR 2000 | FIRST NAMED INVENTOR ROBERSON | ATTY. DKT. NO. 1360.303 (formerly CER1009-01 and 1314.3005R 1) |
| TITLE METHOD AND APPARATUS FOR CONTROLLING THE OPERATION OF A FLEXIBLE CROSS-CONNECT SYSTEM | | ART UNIT 2739 | EXAMINER UNKNOWN |

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

Enclosed for filing are the following papers:

- ☒ Fee Transmittal Form
 ☒ Check for \$ 970.00
☐ Amendment / Response
 ☐ After Final
☒ Petition for Extension of Time
☐ Information Disclosure Statement
 ☐ Form PTO-1449
☒ Notice to File Missing Parts of Application/Incomplete Application
☐ Express Mail Certificate
☒ Other: (1) Request for Corrected Filing Receipt (3p.); (2) Assignment of Invention w/ Cover Page (3p.); (3) Declaration and Power of Attorney (2p.); and (4) Associate Power of Attorney (1p.).

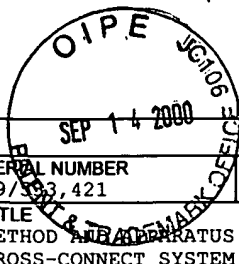
Remarks: The above-noted documents and fees are being transmitted herewith in response to the Notice of Missing Parts mailed June 9, 2000.

Dated: 9/8/00

Frank A. Mazzeo
Signature

808 Bethlehem Pike (Route 309)
Colmar, PA 18915
(215) 799-2210

Frank A. Mazzeo, Reg. No. 46,259



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FEE TRANSMITTAL

| | | | |
|--|----------------------------|----------------------------------|----------------------------|
| SERIAL NUMBER 09/53,421 | FILING DATE 22 MAR 2000 | FIRST NAMED INVENTOR ROBERSON | ATTY. DKT. NO. 1360.303 |
| TITLE METHOD AND APPARATUS FOR CONTROLLING THE OPERATION OF A FLEXIBLE CROSS-CONNECT SYSTEM | | ART UNIT 2739 | EXAMINER UNKNOWN |

FEE CALCULATION (fees effective 9/8/00)

| 1. FILING FEE | | | | 2. CLAIMS | | | | |
|---|------------------------------|--------------------|----------|--|------------------|--------|-----|--------|
| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Amount | | Previous Paid | Extra | Fee | Amount |
| <input checked="" type="checkbox"/> 101 | <input type="checkbox"/> 201 | Utility filing | 690.00 | Total Claims | 18 | - 20 = | x | = 0.00 |
| <input type="checkbox"/> 106 | <input type="checkbox"/> 206 | Design filing fee | | Independent Claims | 2 | - 3 = | x | = 0 |
| <input type="checkbox"/> 107 | <input type="checkbox"/> 207 | Plant filing fee | | <input type="checkbox"/> Multiple Dependent Claims | | | | |
| <input type="checkbox"/> 108 | <input type="checkbox"/> 208 | Reissue filing fee | | <input type="checkbox"/> Small Entity Status (50% Reduction) | | | | |
| SUBTOTAL (1) | | | \$690.00 | SUBTOTAL (2) | | | | |


| 3. ADDITIONAL FEES | | Fee Description | Amount |
|---|------------------------------|--|----------|
| Large Entity Fee Code | Small Entity Fee Code | | |
| <input type="checkbox"/> 105 | <input type="checkbox"/> 205 | Surcharge-late filing fee or oath | |
| <input type="checkbox"/> 139 | <input type="checkbox"/> 139 | Non-English specification | |
| <input type="checkbox"/> 147 | <input type="checkbox"/> 147 | for filing a Request for Reexamination | |
| <input type="checkbox"/> 112 | <input type="checkbox"/> 112 | Requesting publication of SIR prior to Examiner Action | |
| <input type="checkbox"/> 113 | <input type="checkbox"/> 113 | Requesting publication of SIR after Examiner Action | |
| <input checked="" type="checkbox"/> 115 | <input type="checkbox"/> 215 | Extension for response within first month | \$110.00 |
| <input type="checkbox"/> 116 | <input type="checkbox"/> 216 | Extension for response within second month | |
| <input type="checkbox"/> 117 | <input type="checkbox"/> 217 | Extension for response within third month | |
| <input type="checkbox"/> 118 | <input type="checkbox"/> 218 | Extension for response within fourth month | |
| <input type="checkbox"/> 119 | <input type="checkbox"/> 219 | Notice of Appeal | |
| <input type="checkbox"/> 120 | <input type="checkbox"/> 220 | Filing a brief in support of an appeal | |
| <input type="checkbox"/> 121 | <input type="checkbox"/> 221 | Request for oral hearing | |
| <input type="checkbox"/> 138 | <input type="checkbox"/> 138 | Petition to institute a public use proceeding | |
| <input type="checkbox"/> 140 | <input type="checkbox"/> 240 | Petition to revive unavoidably abandoned application | |
| <input type="checkbox"/> 141 | <input type="checkbox"/> 241 | Petition to revive unintentionally abandoned application | |
| <input type="checkbox"/> 142 | <input type="checkbox"/> 242 | Utility issue fee (or reissue) | |
| <input type="checkbox"/> 143 | <input type="checkbox"/> 243 | Design issue fee | |
| <input type="checkbox"/> 144 | <input type="checkbox"/> 244 | Plant issue fee | |
| <input checked="" type="checkbox"/> 122 | <input type="checkbox"/> 122 | Petitions to the Commissioner | \$130.00 |
| <input type="checkbox"/> 126 | <input type="checkbox"/> 126 | Submission of Information Disclosure Statement | |
| <input checked="" type="checkbox"/> 581 | <input type="checkbox"/> 581 | Recording each patent assignment per property | \$40.00 |
| <input type="checkbox"/> 114 | <input type="checkbox"/> 214 | Provisional Application filing | |
| Other fee (specify) | | | |
| Other fee (specify) | | | |
| SUBTOTAL (3) | | | \$280 |

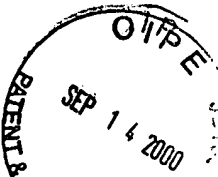
METHOD OF PAYMENT (check one)

TOTAL AMOUNT OF PAYMENT

\$ 970

| | | |
|--|---|------------------|
| <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account Number: _____ Deposit Account Name: _____ <input type="checkbox"/> Charge any Additional Fee Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Charge the Issue Fee set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. 37 CFR 1.311(b) | <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other: | Office use only: |
|--|---|------------------|

| | | |
|-----------------|--|-----------------------|
| SUBMITTED BY | | |
| Frank A. Mazzeo |  Signature | 9/8/00 Date |
| | | 46,259 Reg. Number |



CERTIFICATE OF TRANSMISSION (37 CFR 1.8(A))

PATENT

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

9/8/00

Frank A. Mazzeo
Frank A. Mazzeo

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| SERIAL NUMBER 09/533,421 | FILING DATE 22 MAR 2000 | FIRST NAMED INVENTOR ROBERSON | ATTY. DKT. NO. 1360.303 |
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Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST FOR CORRECTED FILING RECEIPT

- Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
- There is an error with respect to the following data, which is:

☐ incorrectly entered

and/or

☒ omitted.

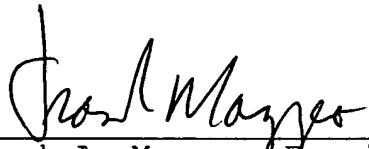
Error inCorrect data

- | | |
|--|--|
| <input type="checkbox"/> 1. Applicant's name | 1. |
| <input type="checkbox"/> 2. Applicant's address | 2. |
| <input type="checkbox"/> 3. Title | 3. |
| <input type="checkbox"/> 4. Filing date | 4. |
| <input type="checkbox"/> 5. Serial number | 5. |
| <input type="checkbox"/> 6. Foreign/PCT App. Re: | 6. |
| <input checked="" type="checkbox"/> 7. Other: | 7. Priority data claimed 60/125,526 filed on March 22, 1999. |

3. Nature of Corrections:

- ☒ The correction(s) is/are not due to any error by applicant and no fee is due.
- ☐ At least one of the above corrections is due to applicant's error and the fee therefor, under 37 CFR 1.19(h), of \$25.00 is paid as follows:
- ☐ Enclosed is a check for \$25.00.
- ☐ Charge Deposit Account No. \$25.00

Respectfully submitted,


Frank A. Mazzeo, Esquire
Reg. No. 46,259

Date: 9/8/00

Frank A. Mazzeo, Attorney At Law
808 Bethlehem Pike
Colmar, PA 18915
(215) 799-2210

FILING RECEIPT



OC00000005167465

UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

Address: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|-----------------|---------------|-----------------|----------|---------------|------------|
| 09/533,421 | 03/22/2000 | 2739 | 0 | 1314.3005R1 | 8 | 18 | 2 |

J P Blasko Prof Corp
107 North Broad Street
Doylestown, PA 18901

Date Mailed: 06/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles S. Roberson, Residence Not Provided;

~~Continuing Data as Claimed by Applicant~~

Priority data claimed 60/125,526 Filed on **RECEIVED**
March 22, 1999. JUN 14 2000

Foreign Applications

If Required, Foreign Filing License Granted 06/08/2000

Title

Method and apparatus for controlling the operation of a flexible cross-connect system

Preliminary Class

370

Data entry by : SYDNOR, RUTH

Team : OIPE

Date: 06/09/2000

